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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/539,133	06/15/2005	Kazushi Wada	09792909-6288	2272
26263 7590 11/06/2007 SONNENSCHEIN NATH & ROSENTHAL LLP			EXAMINER	
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WACKER DR CHICAGO, IL	IVE STATION, SEARS 60606-1080	STOWER	ART UNIT	PAPER NUMBER
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•			11/06/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

1)  Responsive to communication(s) filed on <u>08 October 2007.</u> 2a)  This action is FINAL. 2b)  This action is non-final.  3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4)  Claim(s) <u>1-20</u> is/are pending in the application.  4a) Of the above claim(s) <u>12-20</u> is/are withdrawn from consideration.  5)  Claim(s) <u>1-11</u> is/are rejected.  7)  Claim(s) <u>1-11</u> is/are rejected to.  8)  Claim(s) <u>1-12</u> is/are objected to.  8)  Claim(s) <u>1-15</u> is/are objected to by the Examiner.  10)  The drawing(s) filed on <u>15 June 2005</u> is/are: a) accepted or b)  objected to by the Examiner.  Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on <u>15 June 2005</u> is/are: a) accepted or b)  objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)  All b)  Some * c)  None of:  1.  Certified copies of the priority documents have been received.  2.  Certified copies of the priority documents have been received in Application No.  3.  Ocpies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.				
Examiner  W. Wendy Kuo  The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extraction of time may be available under the provision of 37 CPR 1.33(b). In no event, however, may a regly be limely filed.  If NO send for reply is specified above, the maximum statutory seteld was play and walkers 85 (N. MONTHS from the realizing date of this communication.  Failure to reply within the set or extended pricts to reply will, by statuto, cause me application to become ABANDORED (39 U.S.C. § 1.33).  Are reply asserted by the Office are the mailing obts of this communication.  Failure to reply within the set or extended pricts to reply will, by statuto, cause me application to become ABANDORED (39 U.S.C. § 1.33).  Are reply asserted by the Office are the mailing obts of this communication.  Failure to reply within the set or extended pricts to reply will, by statuto, cause me application to become ABANDORED (39 U.S.C. § 1.33).  This action is FINAL.  2b) This action is inon-dillion for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Exparre Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) Claim(s) 1-22 is/are pending in the application.  4) Claim(s) 1-22 is/are allowed.  5) Claim(s) 1-11 is/are rejected.  7) Claim(s) 1-11 is/are rejected.  7) Claim(s) 1-11 is/are rejected.  8) The specification is objected to by the Examiner.  10) The drawing(s) filed on 15 June 2005 is/are: a) accepted or b) Sobjected to by the Examiner.  Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on 15 June 2005 is/are: a) accepted or b) Sobjected to by the Examiner.  10) The drawing(s) filed on 15 June 2005 is/are: a) accepted or b) Sobjected to by the Examiner.  10) Acknowledgment is made of a claim		Application No.	Applicant(s)	
W. Wendy Kuo   2826		10/539,133	WADA, KAZUSHI	
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A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extension of time may be available under the provisions of 37 CFR 1 136(a), in no event, may a reply be timely filled.  - Extension of time may be available under the provisions of 37 CFR 1 136(a), in no event, may a may be timely filled.  - If No period for reply is specified above, the maximum studies provided willings and will expire 3x (g) MONTH's from the mailing date of this communication, and the provision of the communication of the score extended period for reply will, by statute, cause the application to become ARANDONED, 33 U.S. C, § 1315), Anny reply received by the Office steed than three months after in mailing date of this communication, several fillness (several fillness) fillness.  - Application is private.  - Application is private.  - Application of Claims  -		W. Wendy Kuo	2826	
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Extension of time may be evaluate under the provision of 37 CFR 1.78(1), into event however, may a reply be limely filled.  1 MO period for reply is a specified above, the maximum statutory panel and apply and will expire SIX (8) MONTHS from the maring date of this communication. Failurs to reply which the size of severated period for rigin will be provided by the provision of the above claim(s) 12-20 is/are withdrawn from consideration.  5) Claim(s) 1-20 is/are allowed.  4) Claim(s) 1-20 is/are allowed.  6) Claim(s) 1-1 is/are rejected.  7) Claim(s) 1-1 is/are rejected.  8) Claim(s) 1-1 is/are rejected to.  8) Claim(s) 1-1 is/are rejected to.  8) Claim(s) 1-1 is/are subject to restriction and/or election requirement.  Applicant may no request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 30 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner.  Applicant may no request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  20 rotified copies of the priority documents have been received.  2 Certified copies of the priority documents have been received in Application No.  3 Copies of the certified copies of the priority documents have been received.  2 Certified copies of the priority documents have been received in Applic		ppears on the cover sheet	with the correspondence address	
WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Estatesians of time may be available under the proximate of 37 cFt 113(a). In no event, however, may a reply be timely lifed after SIX (6) MONTHS from the mailing date of this communication of 37 cFt 113(a). In no event, however, may a reply be timely lifed after SIX (6) MONTHS from the mailing date of this communication of 18 communication, even if timely filed, may reduce any carried patent the adjustment. See 37 CFR 1.70(b).  **Status**  1) □ Responsive to communication (s) filed on 08 October 2007.  2a) □ This action is FINAL.  2b) □ This action is finAt.  2b) □ This action is formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  **Disposition of Claims**  4) □ Claim(s) 1.22 is/are pending in the application.  4a) Of the above claim(s) 12.20 is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) □ Claim(s) is/are allowed.  6) □ Claim(s) is/are objected to.  8) □ Claim(s) is/are objected to.  8) □ Claim(s) is/are objected to by the Examiner.  10) □ The specification is objected to by the Examiner.  10) □ The drawing(s) filed on 15 June 2005 is/are: a) □ accepted or b) □ objected to by the Examiner.  Application Papers  9) □ The specification is objected to by the Examiner.  10) □ The drawing(s) filed on 15 June 2005 is/are: a) □ accepted or b) □ objected to by the Examiner.  Application Papers  9) □ The specification is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  11) □ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ Certified co	, •	DIVIQUET TO EVDIDE 2	MONTH(S) OF THIRTY (30) DAYS	
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Solid   Claim(s)   is/are allowed.	4) Claim(s) 1-20 is/are pending in the application	on.		
6) ☐ Claim(s) 7.11 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9) ☐ The specification is objected to by the Examiner. 10) ☒ The drawing(s) filed on 15 June 2005 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) ☒ All b) ☐ Some * c) ☐ None of:  1. ☒ Certified copies of the priority documents have been received. 2. ☐ Certified copies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)**    Motice of Portatsperson's Patent Drawing Review (PTO-948).   Motice of Portatsperson's Patent Drawing Review (PTO-948).   Motice of Informal Patent Application	4a) Of the above claim(s) 12-20 is/are withdr	awn from consideration.		
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Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)    Motice of References Cited (PTO-892)	10)⊠ The drawing(s) filed on <u>15 June 2005</u> is/are:	a) ☐ accepted or b) ☒ ob	jected to by the Examiner.	
Priority under 35 U.S.C. § 119  12) ☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☑ All b) ☐ Some * c) ☐ None of:  1. ☑ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)  ) ☑ Notice of References Cited (PTO-892)  (b) ☑ Notice of Draftsperson's Patent Drawing Review (PTO-948)  (c) ☑ Information Disclosure Statement(s) (PTO/SB/08)	Applicant may not request that any objection to the	ne drawing(s) be held in abey	ance. See 37 CFR 1.85(a).	
Priority under 35 U.S.C. § 119  12) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ All b) □ Some * c) □ None of:  1. □ Certified copies of the priority documents have been received.  2. □ Certified copies of the priority documents have been received in Application No  3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)  ) □ Notice of References Cited (PTO-892)  (b) □ Notice of Draftsperson's Patent Drawing Review (PTO-948)  (c) □ Interview Summary (PTO-413)  Paper No(s)/Mail Date  Notice of Informal Patent Application	Replacement drawing sheet(s) including the corre	ection is required if the drawin	ng(s) is objected to. See 37 CFR 1.121(d).	
12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)  All b)  Some * c) None of:  1.  Certified copies of the priority documents have been received.  2.  Certified copies of the priority documents have been received in Application No  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)    Notice of References Cited (PTO-892)	11) The oath or declaration is objected to by the	Examiner. Note the attach	ed Office Action or form PTO-152.	
a)	Priority under 35 U.S.C. § 119			
a)	12) 🛛 Acknowledgment is made of a claim for foreign	gn priority under 35 U.S.C	. § 119(a)-(d) or (f).	
2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)    Notice of References Cited (PTO-892)	· —			
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  **Attachment(s)  **Notice of References Cited (PTO-892)  **Notice of Draftsperson's Patent Drawing Review (PTO-948)  **Information Disclosure Statement(s) (PTO/SB/08)  **Information Disclosure Statement(s) (PTO/SB/08)  **Information Disclosure Statement(s) (PTO/SB/08)	1. Certified copies of the priority docume	ents have been received.		
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* See the attached detailed Office action for a list of the certified copies not received.  *Attachment(s)    Notice of References Cited (PTO-892)	. — .	· ·	en received in this National Stage	
Attachment(s)  Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Information Disclosure Statement(s) (PTO/SB/08)  Attachment(s)  Interview Summary (PTO-413) Paper No(s)/Mail Date.  Paper No(s)/Mail Date.  Notice of Informal Patent Application				
1)	* See the attached detailed Office action for a li	st of the certified copies n	ot received.	
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Notice of Draftsperson's Patent Drawing Review (PTO-948)  Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application	Attachment(s)	· <u> </u>		
5) Notice of Informal Patent Application	Notice of References Cited (PTO-892)			
Paper No(s)/Mail Date <u>15 June 2005</u> . 6)	B) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of	f Informal Patent Application	
	Paper No(s)/Mail Date <u>15 June 2005</u> .	6)	·	

10/539,133 Art Unit: 2826

### **DETAILED ACTION**

### Election/Restrictions

1. Applicant's election without traverse of Species A, claims 1-11 in the reply filed on 08 October 2007 is acknowledged.

## **Drawings**

2. The drawings are objected to as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference sign(s) mentioned in the description: 4 of Figure 2B (mentioned in paragraph [0029]). Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. Each drawing sheet submitted after the filling date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

10/539,133 Art Unit: 2826

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

- 4. Claims 1-4, 6-7, and 11 are rejected under 35 U.S.C. 102(e) as being anticipated by Inagaki (US 6,765,246) (hereinafter Inagaki).
- 5. With respect to claim 1, Inagaki (e.g. Figure 1) teaches a solid state image pickup device having an image pickup region comprised of a plurality of photo-sensors 1 and a transfer register 2 for transferring signal charges accumulated in said photosensors (column 5, lines 49-51), said image pickup region formed on the face layer side (surface) (column 5, lines 41-46) of a substrate, wherein said solid state image pickup device further comprises:

an impurity region portion 13 (horizontal gridlines between adjacent photodiodes in vertical direction) formed continuously in a direction orthogonal to the transfer (vertical) direction of said transfer register (column 6, lines 39-52), said impurity region portion provided at a position corresponding to a position between said photo-sensors 1 adjacent to each other along the transfer direction of said transfer register in said substrate.

10/539,133 Art Unit: 2826

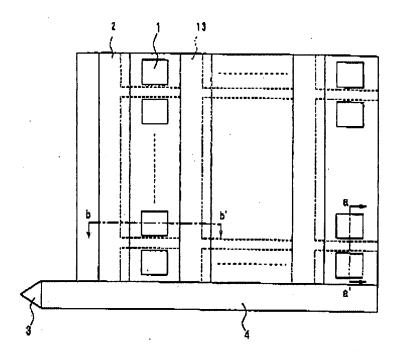


FIG. 1

6. With respect to claim 2, Inagaki (e.g. Figures 2 and 3) further teaches that the impurity region portion 13 is formed at a position deeper than said transfer register (vertical CCD) (column 6, lines 2-4 and lines 39-42) as viewed from the face (surface) layer portion side of said substrate.

Art Unit: 2826

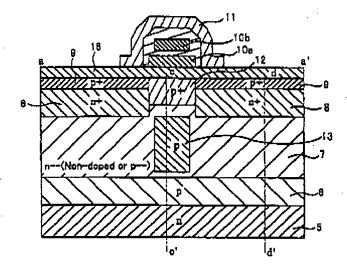


FIG. 2

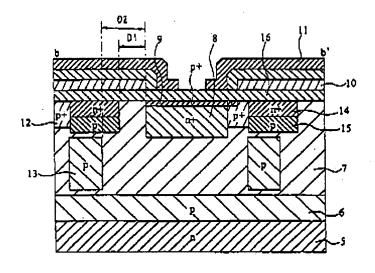


FIG. 3

7. With respect to claim 3, Inagaki (e.g. Figure 6) further teaches a plurality of said impurity region portions (13a-13c) are formed in the depth direction of said substrate (column 11, lines 4-8).

10/539,133 Art Unit: 2826

- 8. With respect to claim 4, Inagaki (e.g. Figure 2) further teaches that separately from said impurity region portion, a channel stop region portion 12 comprised of an impurity region is formed between said photo-sensors (photodiodes) adjacent to each other along the transfer (vertical) direction of said transfer register (column 6, lines 34-38) and in the vicinity of the surface of said substrate.
- 9. With respect to claim 6, Inagaki (e.g. Figure 6) further teaches that in addition to said impurity region portion 13, a first barrier portion 15 comprised of an impurity region is provided at a position between said photo-sensors (photodiodes) adjacent to each other in the transfer direction of said transfer register and shallower relative to said impurity region portion as viewed from the face layer portion (surface) side of said substrate (column 6, lines 46-49).
- 10. With respect to claim 7, Inagaki (e.g. Figure 1) further teaches a second barrier layer 13 (vertical gridlines between adjacent photodiodes in horizontal direction) (column 6, lines 39-52) comprised of an impurity region portion formed along said transfer register.
- 11. With respect to claim 11, Inagaki further teaches that the impurity region portion (13 horizontal gridlines) and the second barrier region portion (13 vertical gridlines) are located at the same depth as viewed from the face (surface) layer portion side of said substrate (column 10, lines 56-64).

10/539,133 Art Unit: 2826

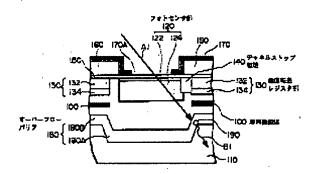
12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 13. Claims 5 and 8-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Inagaki in view of Komatsu (JP 02002231924) (abstract) (hereinafter Komatsu).
- 14. With respect to claims 5 and 8, Inagaki remains as applied to claims 1 and 7, respectively, above.

Inagaki (e.g. Figures 2 and 3) further teaches an overflow barrier 6 formed in said substrate on the deep layer portion side relative to said photo-sensors and said transfer register.

Inagaki fails to teach that the overflow barrier is in a projected and recessed shape at an interface thereof in the depth direction of said substrate, and a projected portion of said projected and recessed shape is disposed at a position corresponding to a position between said photo-sensors. Komatsu teaches that the overflow barrier is in a projected (shallow) and recessed (deep) shape at an interface thereof in the depth direction of said substrate, and a projected portion of said projected and recessed shape is disposed at a position corresponding to a position between said photo-sensors (see abstract figure) in order to prevent color mixing and smear by limiting the move of a signal charge between adjacent photosensor sections.

10/539,133 Art Unit: 2826



It would have been obvious to one of ordinary skill in the art at the time of the invention to provide the image pickup device of Inagaki with the overflow barrier of Komatsu for the benefit of preventing color mixing and smear by limiting the move of a signal charge between adjacent photosensor sections.

15. With respect to claims 9 and 10, Inagaki as modified by Komatsu remains as applied to claims 5 and 8, respectively, above. Inagaki further teaches that the impurity region portion 13 is higher than said overflow barrier 6 in impurity concentration (column 7, lines 48-51 and 55-58).

### Conclusion

16. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Abe (US 6,436,729) discloses an image pickup device that can enhance a photoelectric conversion region by forming an overflow barrier layer at a deep position.

Goto (US 7,236,197) discloses a solid-state image sensor using a junction gate type field-effect transistor as a pixel.

10/539,133 Art Unit: 2826

Any inquiry concerning this communication or earlier communications from the examiner should be directed to W. Wendy Kuo whose telephone number is (571) 270-1859. The examiner can normally be reached Monday through Friday 7:00 AM to 4:30 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sue A. Purvis can be reached at (571) 272-1236. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Wensing Unity for

W. Wendy Kuo Examiner Art Unit 2826

**WWK**